

Internal Audit Work Programme 2011/12									
ref	Audit	Risk 2011/12	Qtr	approx days	Source	last audited	previous opinion	comments	
cork01	Corporate Asset Management	4	1 & 2	10	Key Financial (Managed) Audit & Corporate Plan and/or Risk Register	2010/11	limited	2010/11 Audit Opinion LIMITED, compliance with AM plan actions & revisit qtr 4 Corp risk 10-CR-FIN 03; 14 risk scores 9 190111, IA risk 4	
cork02	Corporate Budgets	4	3	15	Key Financial (Managed) Audit & Corporate Plan and/or Risk Register	2010/11	substantial	Audit Commission audit area 2011/12 - General Ledger. Work on compliance with MFTS. Corp risk 10-CR-FIN 01;02 risk score 8;9 190111, IA risk 4	
cork03	Corporate Contracts & Procurement and VFM	4	3	15	Corporate Plan and/or Risk Register	2010/11	adequate	2010/11 Audit Opinion (ADEQUATE) Corp risk 10-CR-FIN 03;11 risk score 9;8 190111, IA risk 4	
cork04	Corporate Income	4	3	10	Key Financial (Managed) Audit & Corporate Plan and/or Risk Register	2010/11	adequate	Audit Commission audit area 2011/12 - General Ledger; Bank & Cash	
cork05	Corporate Reconciliations	4	3	10	Key Financial (Managed) Audit	2010/11	adequate	Audit Commission audit area 2011/12 - General Ledger; Bank & Cash	
cor01	Corporate Governance & AGS	3	1 & 2	10	Corporate Plan and/or Risk Register	2010/11	adequate	annual audit Corp Risk 10-CR-PEO 03 risk score 8 190111, IA risk 4	
cor02	Corporate Performance Management	3	1 & 2	10	Corporate Plan and/or Risk Register	2010/11	adequate	PI data integrity only - annual audit	
cor03	Corporate Information Management	3	1 & 2	10	deferred from 2010/11 plan	2009/10	limited		
cor04	Corporate Risk Management	3	1 & 2	15	new for 2011/12	new 2011/12	n/a		
cor05	Corporate Health & Safety	3	1 & 2	15	Corporate Plan and/or Risk Register	new 2011/12	n/a		
cor06	Corporate Diversity & Equality	3		15	Corporate Plan and/or Risk Register	new 2011/12	n/a		
cor07	Corporate ICT	3		10	Annual audit of ICT	2010/11	substantial	Corp risk 10-CR-FIN 20; PEO 38;39;40 11 risk score 8;8;8 190111, IA risk 3	
cor08	Corporate Partnerships	3		15	Corporate Plan and/or Risk Register	2009/10	adequate	deferred from 2010/11 plan Corp risk 10-CR-PAR 01;02;15 risk score 12;12;8 190111, IA risk 3	
cor09	Corporate Grants & External Funding	2		10	deferred from 2010/11 plan	2009/10	adequate		
opk01	Creditors	4	3	15	Key Financial (Managed) Audit	2010/11	adequate	Audit Commission audit area 2011/12	
opk02	Housing Rents	4	3	15	Key Financial (Managed) Audit	2010/11	substantial	Audit Commission audit area 2011/12	
opk03	Housing Benefits	3	4	10	Key Financial (Managed) Audit	2010/11	adequate	Minimal Audit - (Audit Commission audit area 2010/11 detailed audit)	
opk04	Council Tax	3	4	10	Key Financial (Managed) Audit	2010/11	substantial	Minimal Audit - (Audit Commission audit area 2010/11 detailed audit)	
opk05	NNDR	3	4	10	Key Financial (Managed) Audit	2010/11	substantial	Minimal Audit - (Audit Commission audit area 2010/11 detailed audit)	
opk06	Payroll, Allowances & Expenses	3	4	10	Key Financial (Managed) Audit	2010/11	adequate	Minimal Audit - (Audit Commission audit area 2009/10 detailed audit)	
opk07	Sundry Debtors	3	4	10	Key Financial (Managed) Audit	2010/11	adequate	Minimal Audit - (Audit Commission audit area 2010/11 detailed audit)	
opk08	Treasury Management	3	4	10	Key Financial (Managed) Audit	2009/10	substantial	deferred from 2010/11 plan	
op01	Housing Strategy	4	1 & 2	15	Corporate Plan and/or Risk Register	new 2011/12	n/a	Corp Risk 10-CR-ENV 02 risk score 9 190111, IA risk 4	
op02	Community Health & Leisure	3	1 & 2	10	Corporate Plan and/or Risk Register	new 2010/11	n/a	deferred from 2010/11 plan	
op03	Services for Older People	3	1 & 2	10	Corporate Plan and/or Risk Register	2007/08	little	qtr3 at earliest - aged with Roz Millership 12/04/11	
op04	Customer Care & Access to Services	3		10	Corporate Plan and/or Risk Register	new 2011/12	n/a		
op05	HR	3	1 & 2	10	Corporate Plan and/or Risk Register	2009/10	adequate	capacity building, succession planning, employee appraisal & development Corp Risk 10-CR-PEO 04 risk score 8 190111, IA risk 3	
op06	Housing Contract Systems	2	1 & 2	15	From former Strategic Audit Plan 2010/11 to 2014/15	2008/09	substantial		
op07	Voids	2	1 & 2	10	new for 2011/12	new 2011/12	n/a	very late qtr2 at earliest - aged with Roz Millership 12/04/11	
op08	Mailroom & Reprographics	2		10	From former Strategic Audit Plan 2010/11 to 2014/15	new 2011/12	n/a	new combined audit, Reprographics last audit 2003/04	
total planned audit days				350					

Strategic Plan of Internal Audit Areas				
Corporate Audits	IA Risk assessment 2011/12	last audited	previous opinion	
Corporate Asset Management	4	2010/11	limited	
Corporate Budgets	4	2010/11	substantial	
Corporate Contracts & Procurement and VFM	3	2010/11	limited	
Corporate Diversity & Equality	3	new 2011/12	n/a	
Corporate Governance & AGS	3	2010/11	adequate	
Corporate Grants & External Funding	2	2009/10	adequate	
Corporate Health & Safety	3	new 2011/12	n/a	
Corporate ICT	3	2010/11	substantial	
Corporate Income	4	2010/11	adequate	
Corporate Information Management	3	2009/10	limited	
Corporate Partnerships	3	2009/10	adequate	
Corporate Performance Management	3	2010/11	adequate	
Corporate Reconciliations	4	2010/11	adequate	
Corporate Risk Management	3	new 2011/12	n/a	
Operational Audits - Key Financial (Managed) Audits	IA Risk assessment 2011/12	last audited	previous opinion	
Council Tax	3	2010/11	substantial	
Creditors	4	2010/11	adequate	
Housing Benefits	3	2010/11	adequate	
Housing Rents	4	2010/11	substantial	
NNDR Business Rates	3	2010/11	substantial	
Payroll, Allowances & Expenses	3	2010/11	adequate	
Sundry Debtors	3	2010/11	adequate	
Taxation	0	2010/11	substantial	
Treasury Management	2	2009/10	substantial	
Operational Audits - Other	IA Risk assessment 2011/12	last audited	previous opinion	
Building Control Service and Fees	0	2010/11	substantial	
Car Loans & Leasing	0	2008/09	limited	
Community Development	0	2009/10	adequate	
Community Health and Fitness	3	new 2010/11		
Community Safety - including anti social behaviour	0	2010/11	substantial	
Concessionary Fares	0	2010/11	adequate	
Conservation & Landscape	0	2007/08	adequate	
Customer Care & Access to Services	3	new 2011/12		
Customer Service Centre	0	2009/10	adequate	
Development Control and Planning Fees	0	2009/10	adequate	
Disabled Facilities Grants (formerly Renovation Grants)	0	2010/11	substantial	
Elections	0	2008/09	adequate	
Electoral Registration	0	2007/08	adequate	
Emergency Planning	0	2009/10	limited	
Enforcement	0	2009/10	limited	
Environmental Health	0	2010/11	substantial	
Facilities Management	0	2008/09	adequate	
Grounds Maintenance	0	2007/08	adequate	
Homelessness	0	2009/10	adequate	
House Sales	0	2009/10	substantial	
Housing Allocations	0	2009/10	adequate	
Housing Contract Systems	2	2008/09	substantial	
Housing Responsive Maintenance	0	2010/11	adequate	
Housing Strategy	3	new 2011/12		
HR	3	2009/10	adequate	
Insurance	0	2008/09	adequate	
Legal Services	0	2008/09	substantial	
Licensing	0	2008/09	adequate	
Local Land Charges	0	2008/09	substantial	
Mailroom & Reprographics	2	new 2011/12		
Members' Allowances & Expenses	0	2010/11	adequate	
Museum	0	2008/09	adequate	
Septic Tank Emptying	0	2007/08	substantial	
Service for Older People (formerly Elderly Persons)	0	2007/08	little	
Street Cleaning	0	2009/10	substantial	